

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

**HATTORI, Eiji, et al.**

Attention: **Applications Division**

Serial Number: **10/506,683**

Group Art Unit: **3728**

Filed: **August 30, 2005**

P.T.O. Confirmation No.: **5673**

For: **HOLDING CONTAINER, EXTERNAL CONTAINER FOR KNEADING AND  
TRANSPORTATION, AND KNEADING DEVICE**

**REQUEST FOR CORRECTED FILING RECEIPT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Date: September 19, 2006

Sir:

Please supply the undersigned attorney with a corrected filing receipt for the above-identified application. The undersigned also respectfully requests that the Patent and Trademark Office records be amended to reflect the corrections.

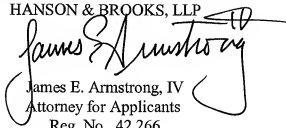
In reviewing the official Filing Receipt, we noted errors in that the filing date should read as: - **08/30/2005** -; the seventh inventor's name should read as: - **YOJI TANAKA** -; and the title should read as: - **HOLDING CONTAINER, EXTERNAL CONTAINER FOR KNEADING AND TRANSPORTATION, AND KNEADING DEVICE** -.

Copies of the Date-Stamped Return Receipt Post Card dated August 30, 2005; the Response to Notice of Insufficient Basic Nations Fees, along with Declaration and verified English translation of Application, Drawings and statement of translation as filed on August 30, 2005; the Official Filing Receipt dated April 27, 2006 with the correct filing date marked-up are enclosed which indicate the correct information. We are enclosing a copy of the filing receipt with the corrections highlighted. Correction is respectfully requested.

In the event that any fees are required in connection with this paper, please charge our  
Deposit Account No. 01-2340.

Respectfully submitted,

ARMSTRONG, KRATZ, QUINTOS,  
HANSON & BROOKS, LLP

  
James E. Armstrong, IV  
Attorney for Applicants  
Reg. No. 42,266

JAM/lrj  
Atty. Docket No. 040484  
Suite 1000  
1725 K Street, N.W.  
Washington, D.C. 20006  
(202) 659-2930



23850

PATENT TRADEMARK OFFICE

Enclosures: Date-Stamped Return Receipt Post Card dated 8/30/05  
Response to Notice of Insufficient Basic Nations Fees filed 8/30/05  
Declaration filed 8/30/05  
Official Filing Receipt dated April 27, 2006

CARD NO: 16091

U.S. Patent Application      Docket No: 040484  
Serial No: 10/506,683      Filed: 09/20/04  
Patent Number:      Issued:  
Applicant(s): HATTORI, Eiji, et al.

Papers filed herewith on: 08/30/05

**Other: Response to Notice of Insufficient Basic National Fees; w/  
Declaration, Notice, the verified English Translation of the  
Application along with Drawings and statement of verified  
translation**



COMMISSIONER OF PATENTS

Receipt is hereby acknowledged of the papers filed as indicated  
in connection with the above-identified case.

JAM/RMP

In re the Application of: **HATTORI, Eiji, et al.**

**ATTN: PCT BRANCH**

Serial No.: **10/506,683**

Group Art Unit: **Not Yet Assigned**

Filed: **September 20, 2004**

P.T.O. Confirmation No.: **5673**

For: **HOLDING CONTAINER, EXTERNAL CONTAINER FOR KNEADING AND  
TRANSPORTATION, AND KNEADING DEVICE**

**RESPONSE TO NOTICE OF INSUFFICIENT BASIC NATIONAL FEES**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

August 30, 2005

Dear Sir:

In response to the Notice of Insufficient Basic National Fees of Application dated **July 29, 2005**, enclosed for filing is an executed Declaration and Power of Attorney and the verified English translation of the application along with Drawings and statement of verified translation for the above-identified patent application.

Please note that the surcharge fee of **\$130.00** for filing the late Declaration was paid at the initial filing. The fees enclosed at initial filing on **September 20, 2004** were **\$1,340.00** (\$1,080.00 for basic filing fees for filing an International Preliminary Examination, \$130.00 for the verified English translation of the Application along with Drawings and statement of verified translation and \$130.00 for filing the late Declaration). In the event that any additional fees are due with this paper, please charge Deposit Account No. 01-2340.

In the event that this response is not timely filed, applicants hereby petition for an appropriate extension of time. The fees for any such extension may be charged to our Deposit Account No. 01-2340.

Respectfully submitted,

**ARMSTRONG, KRATZ, QUINTOS,  
HANSON & BROOKS, LLP**

*James E. Armstrong, IV*  
James E. Armstrong, IV  
Attorney for Applicants  
Reg. No. 42,266

JAM/rmp  
Atty. Docket No. **040484**  
Suite 1000  
1725 K Street, N.W.  
Washington, D.C. 20006  
(202) 659-2930



**23850**

**PATENT TRADEMARK OFFICE**

Enclosures: Notice; Declaration; verified English Translation of the Application along with Drawings and statement of verified translation

**DECLARATION FOR U.S. PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**HOLDING CONTAINER, EXTERNAL CONTAINER FOR KNEADING AND TRANSPORTATION, AND KNEADING DEVICE**

the specification of which is attached hereto unless the following is checked



was filed on August 30, 2004 as United States Application Number or PCT International Application Number PCT/JP2004/012482 and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 (a) - (d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application for which priority is claimed.

				<b>Priority Claimed</b>
	(Number)	(Country)	(Day/Month/Year Filed)	Yes No
(List prior foreign applications. See note A)				Yes No
	(Number)	(Country)	(Day/Month/Year Filed)	Yes No
	(Number)	(Country)	(Day/Month/Year Filed)	Yes No
	(Number)	(Country)	(Day/Month/Year Filed)	Yes No

(See note B) ☐ See attached list for additional prior foreign applications

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

				<b>Status</b>
	(Application Serial No.)	(Filing Date)	Patented Pending Abandoned	
(List prior U.S. Applications)			Patented Pending Abandoned	
	(Application Serial No.)	(Filing Date)	Patented Pending Abandoned	
	(Application Serial No.)	(Filing Date)	Patented Pending Abandoned	
	(Application Serial No.)	(Filing Date)	Patented Pending Abandoned	

Customer Number

23850

PATENT TRADEMARK OFFICE

Please direct all communications to the following address:

Customer Number

23850

PATENT TRADEMARK OFFICE

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18 of the United States Code, § 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

(See note C) Full name of sole or first inventor (given name, family name) Eiji HATTORI

Inventor's Signature Eiji Hattori Date May 25, 2005  
 Residence Otokuni-gun, Kyoto, Japan Citizenship Japanese  
 Post Office Address 12-1, Koaza Chayamae, Aza Enmyoji, Oyamazaki-cho, Otokuni-gun, Kyoto  
618-0091 Japan

Full name of second inventor (given name, family name) Masayoshi MATSUMURA

Inventor's Signature Masayoshi Matsumura Date May 25, 2005  
 Residence Takatsuki-shi, Osaka, Japan Citizenship Japanese  
 Post Office Address 1-2-14, Shimotabe-cho, Takatsuki-shi, Osaka 569-0062 Japan

Full name of third inventor (given name, family name) Kazuhito SHIMIZU

Inventor's Signature K. Shimizu Date May 25, 2005  
 Residence Kofu-shi, Yamanashi, Japan Citizenship Japanese  
 Post Office Address 2531-9, Osato-cho, Kofu-shi, Yamanashi 400-0053 Japan

Full name of fourth inventor (given name, family name) Toshiharu OSAKA

Inventor's Signature Toshiharu Osaka Date May 25, 2005  
 Residence Kushiro-shi, Hokkaido, Japan Citizenship Japanese  
 Post Office Address 20-26, Tsurunohigashi 3-chome, Kushiro-shi, Hokkaido 084-0923 Japan

Full name of fifth inventor (given name, family name) Tooru ICHIKAWA

Inventor's Signature Masahiro Ito Date May 25, 2005  
 Residence Chiyoda-ku, Tokyo, Japan Citizenship Japanese  
 Post Office Address c/o HOSOKAWA YOKO CO., LTD.  
11-5, Nibancho, Chiyoda-ku, Tokyo 102-0084 Japan

Full name of sixth inventor (given name, family name) Masahiro ITO

Inventor's Signature Masahiro Ito Date May 25, 2005  
 Residence Chiyoda-ku, Tokyo, Japan Citizenship Japanese  
 Post Office Address c/o HOSOKAWA YOKO CO., LTD.  
11-5 Nibancho, Chiyoda-ku, Tokyo 102-0084

Full name of seventh inventor (given name, family name)

Yoji TANAKA

Inventor's Signature

Yoji Tanaka

Date

May 25, 2005

Residence

Yokohama-shi, Kanagawa, Japan

Citizenship

Japanese

Post Office Address

c/o POLYMER SYSTEMS CO., LTD.  
5-14, Shinyokohama 2-chome, Kohoku-ku, Yokohama-shi, Kanagawa 222-0033 Japan

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: **HATTORI, Eiji, et al.**

Attention: **Applications Division**

Serial Number: **10/506,683**

Group Art Unit: **3728**

Filed: **August 30, 2005**

P.T.O. Confirmation No.: **5673**

For: **HOLDING CONTAINER, EXTERNAL CONTAINER FOR KNEADING AND  
TRANSPORTATION, AND KNEADING DEVICE**

**REQUEST FOR CORRECTED FILING RECEIPT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Date: June 28, 2006

Sir:

Please supply the undersigned attorney with a corrected filing receipt for the above-identified application. The undersigned also respectfully requests that the Patent and Trademark Office records be amended to reflect the correction.

In reviewing the official Filing Receipt, we noted errors in that the **title and the seventh inventor's name is incorrect and should read as - -HOLDING CONTAINER, EXTERNAL CONTAINER FOR KNEADING AND TRANSPORTATION, AND KNEADING DEVICE- - and YOJI TANAKA- -**. A copy of the **Declaration** is enclosed which indicates the correct information. We are enclosing a copy of the filing receipt with the corrections highlighted.

In the event that any fees are required in connection with this paper, please charge our Deposit Account No. 01-2340.

Respectfully submitted,

ARMSTRONG, KRATZ, QUINTOS,

HANSON & BROOKS LLP

James E. Armstrong, IV

Attorney for Applicants

Reg. No. 42,266

JAM/bjb

Atty. Docket No. **040484**

Suite 1000

1725 K Street, N.W.

Washington, D.C. 20006

(202) 659-2930



**23850**

PATENT TRADEMARK OFFICE

Enclosures: Official Filing Receipt and Declaration





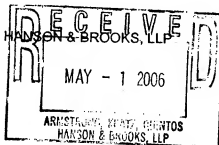
## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/506,683	03/09/2006 08/30/05	3728	1340	040484	23	12	1

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ARMSTRONG, KRATZ, QUINTOS,  
1725 K STREET, NW  
SUITE 1000  
WASHINGTON, DC 20006



CONFIRMATION NO. 5673

## FILING RECEIPT



\*OC00000018494334\*

Date Mailed: 04/27/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Eiji Hattori, Otokuni-gun, JAPAN;  
Masayoshi Matsumura, Takasaki-shi, JAPAN;  
Kazuhiro Shimizu, Kofu-shi, JAPAN;  
Toshiharu Osaka, Kushi-shi, JAPAN;  
Tooru Ichikawa, Chiyoda-ku, JAPAN;  
Masahiro Ito, Chiyoda-ku, JAPAN;  
Yoji Yanaka, Yokohama-shi, JAPAN; -- Yoji Tanaka --

## Assignment For Published Patent Application

SUNSTAR ENGINEERING INC., TAKATSUKI-SHI, JAPAN  
HOSOKAWA YOKO CO., LTD, TOKYO, JAPAN

Power of Attorney: The patent practitioners associated with Customer Number 23850.

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP04/12482 08/30/2004

## Foreign Applications

Projected Publication Date: To Be Determined - pending completion of Security Review

Non-Publication Request: No

DOCKETED

FILE

— — **HOLDING CONTAINER, EXTERNAL CONTAINER FOR KNEADING AND TRANSPORTATION,  
AND KNEADING DEVICE** — —

Title

~~Holding container, external container for kneading and transportation, and transporting the  
container and kneader~~

Preliminary Class

206

## PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Document Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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### LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

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